

**Lectures on Russian History – Kievan Rus**  
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**The Term "Kievan Rus"**

- The first historical period under discussion is "Kievan Rus'."
- It is also called "Pre-Petrine Russia," "Old Russia," or "Medieval Russia."
- These terms all refer to the period from the 9<sup>th</sup> century CE until roughly the late 15<sup>th</sup> century, and "pre-Petrine" refers to the entire period before the rule of Peter I "The Great" (reigned 1689-1725).

**Terminology: Rus (vs. Rossiia)**

- The most appropriate term for the region at this time is Rus, which refers to the people and culture.
- Kiev, capital of present-day Ukraine, was for a time the most important city, which is why "Kievan" often precedes Rus.
- This term underscores the fact that the people of the region during this time were the common ancestors of both present-day Russians and present-day Ukrainians.
- The later term Russia (in Russian Россия [Rossiia]) is the centralized political entity that existed from the end of the 16<sup>th</sup> century, which at times included present-day Russia and present-day Ukraine.

**Time Frame**

- The pre-Petrine era can be subdivided into several periods
- The first is the Kievan period (9<sup>th</sup> century until ca. 1240), so called because Kiev, capital of present-day Ukraine, was the dominant city.
- "Kievan" is something of a misnomer.
- Other cities, and especially Novgorod in the North, were equally important.
- The next period is the Mongol/Tatar invasion and rule (1240-1480).
- Finally there is the Moscow period (1300-1689).

**The People**

- The Russians of today descend from two peoples.
- There are the Slavs.
- The Slavs originate in present-day Russia, Ukraine and Eastern Europe in Roman times and probably much earlier
- Their origins are obscure.
- The group that we are concerned with here can properly be called the "Eastern Slavs" – ancestors of present-day Russians, Ukrainians, and Belorussians.
- Then there are the Varangians.
- They are also called the Normans or the Vikings
- Their origin is controversial.

- Some modern historians maintain that, like the Slavs, they are native to present-day Russia.
- The preponderance of archeological and linguistic evidence, however, suggests that they came to Russia from Scandinavia some time around the 9th century CE.
- There is also controversy as to how & why they came.
- The question is, did the Slavs, in the year 862, invite them to rule *Rus*?
- The supposed reason for this alleged invitation was that the Slavs could not govern themselves.
- A major source, the "Primary Chronicle" of historical events written somewhat after the fact, claims that this was the case.
- According to this account, a Viking named Riurik, who lived in Novgorod in the 9th century, was the first Scandinavian ruler.
- However, the "Chronicle" is known to be inaccurate.
- Evidence (archeology, linguistics and a comparison of law codes) shows that there were Scandinavians, but it's not clear if they were rulers.
- Rather, the rulers may have emerged from amongst the Slavs themselves or some combination of Slavs and Varangians.
- The Varangians may have come to Russia initially not as rulers but, in the words of the historian of Ukraine Paul Kubicek, "because they were after resources (e. g., furs and precious metals) and sought control over trade routes leading south to Constantinople and the Middle East[...]" (p. 21).
- Riasanovsky points out (p.11) that Viking narratives from other areas also claim that the Vikings invited to rule.
- On the other hand, the *Cambridge History of Russia. Volume I* (pp. 189-190), claims archeological evidence does suggest that Riurik was invited in a leadership capacity among three groups in the region that were fighting amongst themselves.
- Riasanovsky notes that "[b]y tradition, this is where historians have said 'Russian history' begins, though critics ask whether Kievan Rus can be called 'Russia,' a term coined much later" (p. 11).

### City States

- By the 9th century the people of the region had formed together into what came to be called Rus.
- *Rus* consisted in a series of more or less independent city-states.
- The cities included Kiev and Novgorod, which were the most powerful.
- Other early cities included Chernigov, somewhat later Pereiaslavl, and later Smolensk, Volynia, Vladimir and Suzdal.
- The rulers are referred to in English as Princes, although they were earlier called Dukes in English sources and *rex* (king) in Latin sources (Raffensperger 6).
- The Russian term, sometimes used in English, is *Kniaz* (КНЯЗЬ)
- The population of the largest cities was apparently around thirty thousand although there is no reliable way to know the overall population of Rus.
- Although the concept of nation did not exist then as it does now, the princes of the various cities did at least theoretically recognize that they were part of the larger entity of Rus, and at times, especially earlier on in the period under discussion,

- the cities were united under one ruler, known in English as the Great Prince and in Russian as the *Velikii Kniaz'* (Великий Князь)
- Residents of *Rus* did not view themselves primarily as members of a single nationality (which, again, is a more modern concept).
  - Neither did they view themselves as members of three distinct nationalities corresponding to modern Russia, Ukraine and Belarus.
  - This too is controversial: some modern residents of these countries claim they should all be united as Russians, while others, particularly in Ukraine, claim that they have been separate entities since before the common era.
  - Paul Kubicek writes that "[Ukrainian historian Michael] Hrushevsky, for example, claimed a separate history for Rus-Ukraine grounded in ethnicity, not state-building. Central in his argument is that the people who lived around Kiev were ethnically distinct from those residing farther to the north, and that these Polianins/Kievan Rus, who according to him remained in central Ukraine, provide the ethnic stock for Ukrainians today" (28).
  - Kubicek himself and most other historians disagree with this theory.
  - What actually happened was that during the Mongol period (ca. 1240-1480, of which more below) a division began to develop between the West and the East within Rus.
  - Western Rus by the 15<sup>th</sup> and 16<sup>th</sup> centuries came to be called Ruthenia and eventually developed into present-day Ukraine and Belarus.
  - These regions were in succession dominated by other states in the region, including the Lithuanians, the Poles, a commonwealth of the Poles and Lithuanians, the Mongols and Tatars supported by the Ottoman Turks, and an independent community of “runaway serfs, religious refugees, disaffected noblemen, and common criminals” – the Cossacks (Kubicek 37-38).
  - Eastern Rus developed into Muscovite Russia.

### **Early Princes of Kievan Rus'**

- There were several Great Princes at the end of the 9th and beginning of the 10th centuries who did manage to unite the Russian cities
- Evidence of their actions, and even in some case their existence, is weak, but the best historical research suggests the following:
- Oleg (r. 882-913), a Varangian, and his successor Igor (r. 913-945), succeeded in subduing and collecting tribute from some of the non-Slavic peoples of the region.
- They also initiated what would become a consistent theme in Russian history: both conflict and economic collaboration with Byzantium.
- Byzantium, later Constantinople and now Istanbul, is an ancient Greek city that became a major cultural and economic center of the Roman Empire, and beginning in the 4<sup>th</sup> century CE (or possibly somewhat later) the capital of the Byzantine Empire, which existed until 1453 when it was captured by the Ottoman Turks
- It was the terminus of a major trading route with Northern Europe, and also the principal center of Eastern Orthodox Christianity until 1453

- In 537 CE, the largest church of the Byzantine empire, the Hagia Sophia, was constructed in Constantinople.
- It became the major spiritual center of Eastern Orthodox Christianity
- In 1453 the Ottomans converted it to a mosque
- It remained a mosque until 1935, when the secularized Turkish government converted it to a museum
- It remained a museum until 2020, when the current president of Turkey reconverted it to a mosque
- One other ruler from this period must be mentioned: Igor's wife, Olga (r. 945-ca. - 962)
- Again, there is not much direct evidence today of her activities, but she is mentioned prominently in the Primary Chronicle
- Igor was killed in battle by a neighboring group called the Drevliane, and Olga took his place
- According to the Chronicle, Olga avenged Igor's death against the Drevliane, collected tribute from other non-Slavic tribes of the region, and strengthened ties with Constantinople, where she was baptized ca. 957, for which she was later made a Saint of the Orthodox Church (Riasanovsky p. 13).
- Her revenge is described in *The Primary Chronicle* (p. 79)

### **Vladimir and the Christianization of Rus'**

- Among the greatest successors of these early rulers was Vladimir (reigned 980-1015), who was Prince of Kiev.
- Vladimir is remembered not only for the fact that he was a Christian.
- There had been Christians, and even Christian rulers like Olga, prior to Vladimir.
- Vladimir, however, declared Christianity the state religion of *Rus*.
- There is a story about this event, described in the "Primary Chronicle" and repeated to this day: Vladimir met with representatives of the various faiths but rejected them (the Muslims, for example, because they did not allow drinking – *The Primary Chronicle* pp. 97-98).
- He chose Orthodoxy because of the beauty of its cathedrals and liturgy (*The Primary Chronicle* p. 110).
- The likely reality is that Vladimir wanted a political alliance with the Byzantine emperor, whose sister he married after the conversion.
- In any event, Vladimir in the year 988 declared that his subjects henceforth all would be Orthodox Christians.
- In that year the residents of Kiev took part in a mass baptism by immersion in the Dniepr river.
- Henceforth Orthodoxy became the faith of the vast majority of Russians.
- In 1988 Orthodox Russians, including in the Soviet Union, celebrated the 1000 year anniversary of the Christianization of Rus'.
- This was a sign of the new openness ("*Glasnost*") then prevailing in the USSR.
- As we will see, however, this was not the typical attitude toward religion in the Soviet period

- It is also a source of controversy today. The Leader of the Russian Orthodox Church, called the Patriarch, has always been recognized as leader of the Orthodox Church in all Slavic lands, but now one faction of Orthodox Ukrainians has established its own Patriarchate and no longer recognizes the Moscow Patriarchate.
- A recent short YouTube video, [Крещение Руси. Что, если бы Владимир выбрал другой путь?](#), addresses this controversy
- When Vladimir died, he gave each of his three sons authority over different regions
- They fought amongst themselves, which would become the norm before long
- There was, however, one final great ruler, Iaroslav the Wise (reigned 1019-1054)
- Iaroslav initiated a period of peace by unifying the Rus' cities and defeating the non-Slavic peoples of the region who sought to attack Rus'
- He strengthened the Orthodox Church, patronized artists and architects, established a law code, and build a school and library in Kiev (Riasanovsky 19-20)

### **Social classes of *Rus***

- Civil categories included:
- The Great Prince, ruler of all the Russian cities and their lands (literally in time of unification, nominally in times of disunity);
- Princes of the various cities;
- The "Boyars," high ranking aristocrats who became the old hereditary nobility of Russia;
- Merchants;
- Craftsmen;
- Peasants, who were free and could retain land in medieval Russia;
- Indentured servants;
- Slaves (POWs, convicts, captured peoples) with some legal rights;
- The "Veche" or assembly: an elected assembly that decided civic administrative matters and held varying degrees of power in different cities at different times.
- Women in Kievan Rus' were subordinate to men (Riasanovsky 31). It is often pointed out that fines for committing a crime against a woman were less than if the crime were committed against a man
- At the same time, as Riasanovsky (p. 31) and others have noted, women could own property and participate in the economic life of the city, and could seek legal redress of crimes against them, including rape.

### **Velikii Novgorod**

- It's not surprising the northern city of Novgorod would play a leading role in events of the day.
- The city was known as "Lord Novgorod the Great" to its inhabitants
- Novgorod is attested as a city of some significance from the mid 10th century onward, and is attested in legend some time earlier.

- It is to Novgorod that the first Viking ruler, Riurik, has said to have come to govern Rus'
- Among the things that made Novgorod unique were the following:
- Kiev, as noted earlier, was the dominant city of the era (hence the term "Kievan Rus") but Novgorod gained its autonomy from Kiev in the mid 12th century and retained its independence from other cities until 1478 when it was subsumed by the increasingly strong and centralized Moscow government.
- It was not dominated by the Mongols as other cities were.
- Novgorod did pay tribute to the Mongol Khans, but the city was never sacked or garrisoned by Mongol troops and no Mongol was allowed to enter the city without express permission
- Novgorod was "one of the chief points of distribution and trans-shipment in an international network of trade routes" from "Scandinavia to Byzantium" (Birnbaum 49).
- It was a major bulwark of the economy of all of Rus'
- All free men, including the tradesmen who made up a large proportion of the city's population, could belong to the Veche (assembly), all though it is not clear if non-Boyars were voting members.
- The Veche had executive powers and played a major role in selecting the leaders of the city
- Peasants retained their freedom here longer than in other parts of *Rus*.
- Peasants worked for the church and on the estates of the Boyars but also owned land.
- In addition to a strong legislative branch, Novgorod also had a strong judicial system which was noted for its fairness and humanitarianism.

### **Incursions from Asia and Europe**

- *Rus* was not protected in the east or west by natural barriers.
- *Rus* was wealthy in furs and other resources and also contained the major north-south trading route to Byzantium
- It was therefore a frequent target of attacks
- Among the invaders were nomadic Asian peoples
- In particular there was a group known as the Kumans (also called the Kipchaks or Polovtsy, aka, Polovetsians).
- The Polovtsy appeared from the eastern steppe toward the end of the 11th century and carried out raids in *Rus* territory.
- The failure of one Russian Prince, Igor, to fight them off is recorded in an anonymous 12th century text, *The Song of Igor's Campaign*, that is considered one of the early great works of Russian literature.
- *Rus* was also under frequent attack from the west, in particular by the Germans, the Lithuanians, and the Swedes.
- Because of its location in the northwest, Novgorod played a major role in defending against these attacks from Europe
- During the period, an ongoing debate among Russian rulers was whether to fight the Mongols (of whom more below), or the Europeans

- A key figure in this debate during the 13th century was a Prince from Novgorod named Aleksandr
- Aleksandr now is a saint of the Russian Orthodox church but in his day he was controversial because he favored cooperating with the Mongols in order to fight the Europeans.
- Aleksandr led the Novgorodians in victories over the Swedes, Lithuanians and Germans in the decade of the 1240s
- One of his victories took place on the banks of the Neva river and thereafter he was known as Aleksandr "Nevsky"
- His most famous victory, over the Germans in 1242, took place on the ice of a frozen lake, through which the German mounted knights fell in their heavy armor.
- One of the best-known movies of the Soviet period, directed by Sergei Eisenstein, is called *Aleksandr Nevsky* and depicts this battle.
- The greatest threat to *Rus* Ultimately came from a group called the Mongols.

### **The Mongols**

- The Mongols were a nomadic Central Asian people from present-day Mongolia whose various branches were united under the leadership of one man who was granted the title of Chingiz Khan.
- The terms "Mongol" and "Tatar" are sometimes used interchangeably in both English and Russian
- In reality the Mongols were from Mongolia; their empire included not only Mongols but also people of Turkic, not Mongol, descent, who were called Tatars.
- Chingiz Khan established a stable government, and a strong military.
- He died in 1227 but his successors eventually established an empire that included China, Iran, and the *Rus* lands and extended well into Europe
- These occupiers are referred to as the "Golden Horde," initially an extension of the Mongol empire but ultimately a separate entity and finally a collection of independent states.
- The period of 1240 until 1480 is thus called the Mongol or Tatar period in Russian history and in Russian the "Mongol" or "Tatar" yoke.
- In general, the Mongols did not occupy and actively manage the cities of *Rus* but rather imposed laws, drafted *Rus* men into their armies for military campaigns, and collected substantial tribute under threat of military reprisals, which they sometimes carried out
- Russia has both steppe and forest. The Mongols by and large stayed on the steppe, which was most similar to their Central Asian homeland, and did not garrison Russian cities within the forest zone.
- The Mongols did occupy and sack several Russian cities during their initial invasion of the *Rus*' lands, including Kiev in the year 1240, which is why that year is generally listed as the beginning to the Mongol period.
- As a rule, the Mongols preferred to bargain with the Princes of the various cities as to the amount of tribute that would be paid.
- To this day there is a controversy as to how much the Mongols impacted Russian culture

- Russian historians before generally argued that the Mongols ruled inflexibly and had no positive effect on Russian culture or institutions (Vernadsky 17; Wheeler 1).
- There are certainly elements of truth in this view.
- During the Mongol period contact with the West was stunted, economic growth in some regions was curtailed and there were violent reprisals against cities that failed to follow Mongol laws or pay sufficient monetary tribute.
- It is also certain that the language, culture and ethnicity of *Rus* were affected by the presence of the Mongols & Tatars.
- In the modern era, however, historians have taken a more balanced view.
- According to most sources, the Mongols, who adopted Islam at around the time of their foray into *Rus*, did not interfere much in the local religion. Geoffrey Wheeler notes "the attitude of complete toleration which the Mongols observed towards religion" (1-2).
- As a result the Orthodox church was allowed to develop while civilian authority atrophied (in part because the princes continued to fight amongst themselves), and the church became a powerful institution in subsequent Russian history
- None the less it is fair to say that this was among the first confrontations between Islam and European Christianity that took place during the Middle Ages (Lewis 12) and subsequently.
- Some regions of Russia benefited economically from the presence of the Mongols, who changed trading routes to maintain better contact with their home base.
- Some military and administrative structures were adopted by the Russians.
- Ultimately, and notwithstanding modern-day insistence that the Mongol occupation must be viewed objectively, the presence of the Mongols and the corresponding lack of independence became a key factor in uniting the Russian princes.
- This unity, combined with internal problems in the Mongol empire, finally allowed the Russians to drive them out.
- The first decisive victory occurred in the year 1380 on a field known as Kulikovo, near the Don river
- There, on September 8, Russian troops led by a Prince named Dmitrii Donskoi defeated Mongol troops under a leader named Mamai.
- Russian historical and popular traditions say that Kulikovo marked the beginning of the end of the domination of *Rus* by the Mongols.
- Some historians claim there is more myth than reality to the story of Dmitrii Donskoi and Russian resistance to Mongol rule.
- Russians nonetheless regard it as a turning point in their history.
- [There will be a large commemorative celebration this year on Sept. 20](#)
- [There will also be various cultural events](#)
- The *Cambridge History* (p. 161) cites political upheavals amongst the Mongols themselves as a key factor in the decline of their rule. Although the Russians continued to pay tribute under threat of reprisals in the late 14th century, it was



not to the Golden Horde, but to various independent successor states of the Golden Horde, who were in fact not Mongols but Tatars.

- 1480 is often listed as the end of combined Mongol/Tatar period in Russian history because a battle in that year on the Ugra river between Ivan III of Moscow and a Tatar army, although not terribly decisive in itself, is generally considered the final confrontation between Russia and the Tatars.
- Last year there was an argument in Russia about the meaning of this battle.
- It was occasioned by a proposal, created by a member of the Russian Duma (lower house of parliament) and supported by President Putin, [to make November 11, the last day of the battle, a national holiday](#).
- The proposal seems to have died due to fierce opposition in Tatarstan, whose residents claim that the Mongol and Tatar occupiers of Rus' have nothing to do with them.